



FORM PTO-1449

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**

(Use several sheets if necessary)

Docket Number (Optional)
82874.0011Application Number
10/039,838

Applicant

Tallis Y. Chang, et al.

Filing Date
November 6, 2001Group Art Unit
2874**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
EK	4,480,184	10-30-1984	Ely			
EK	4,860,294	08-22-1989	Winzer, et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
EK	International Search Report for PCT/US02/35439	08-08-2003	PCT				
	EP 0 331 337 A2	09-06-1989	European				
	EP 0 331 338 A2	09-06-1989	European				
	EP 1 133 082 A1	09-12-2001	European				
	GB 2 266 160 A	10-20-1993	United Kingdom				
	DE 43 12 247 A1	10-21-1993	Germany				
	DE 29 16 999	11-06-1980	Germany				
EK	JP 11-84183	03-26-1999	Japan			Abstract only	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EK	Y.P. Li, et al., "Silica-Based Optical Integrated Circuits," IEE Proceeding: Optoelectronics, Institution of Electrical Engineers, Vol. 143, No. 5, pp. 263-280, October 1996.
I	K. Okamoto, et al., "Fabrication of Coherent Optical Transversal Filter Consisting of MMI Splitter/Combiner and Thermo-Optic Amplitude and Phase Controllers," Electronic Letters, Vol. 35, No. 16, pp. 1331-1332, August 5, 1999.
EK	M. Kawachi, "Recent Progress in Silica-Based Planar Lightwave Circuits on Silicon," IEE Proceedings: Optoelectronics, Institution of Electrical Engineers, Vol. 143, No. 5, pp. 257-262, October 1996.

EXAMINER

Ellen Kim

DATE CONSIDERED

2/4/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.